

SCHEDULE 1- GENERAL – VERSION V4 (published 03 July 2024)

Item		Description
1	Applicable Law	<p>With reference to the definition of Applicable Law in Rule 1.1, the specified laws are:</p> <ul style="list-style-type: none"> (a) Anti-Money Laundering Laws; (b) Anti-Fraud and Anti-Market Manipulation Laws; and (c) Recordkeeping and reporting requirements.
2	Approved Clearing Bank	<p>With reference to the definition of Approved Clearing Bank in Rule 1.1, the Approved Clearing Bank is:</p> <ul style="list-style-type: none"> (a) Bank of America, N.A. where the Market Operator is CBL Markets (USA) LLC (except in in relation to the Transfer Service for Financial Units); or (b) Westpac Banking Corporation where the Market Operator is CBL Markets (Australia) Pty Ltd or in relation to the Transfer Service for Financial Units; or (c) Austraclear where the Market Operator is CBL Markets (Australia) Pty Ltd.
3	Approved Registry	<p>With reference to the definition of Approved Registry in Rule 1.1, the Approved Registry is:</p> <ul style="list-style-type: none"> (a) specified in Schedule 2 for Products associated with the Regional Greenhouse Gas Initiative; (b) specified in Schedule 3 for Products associated with the California Cap and Trade Program; (c) specified in Schedule 4 for Products associated with the Verified Carbon Standard; (d) specified in Schedule 5 for Products associated with the Gold Standard; (e) specified in Schedule 6 for Products associated with the Climate Action Reserve; (f) specified in Schedule 7 for Products associated with the American Carbon Registry; (g) specified in Schedule 8 for Products associated with the Australian Renewable Energy Target; (h) specified in Schedule 9 for Products associated with the NSW Energy Savings Scheme; (i) specified in Schedule 10 for Products associated with one or more American Renewable Energy Programs;

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	<p>(j) Schedule 11 for Futures Products governed by the Futures Market Rules of the New York Mercantile Exchange, Inc. owned and operated by CME Group, Inc., and CME ClearPort;</p> <p>(k) Specified in Schedule 12 for Products associated with the United States Environmental Protection Agency Cross State Air Pollution Rule;</p> <p>(l) Specified in Schedule 13 for Products associated with Gas Auction Program;</p> <p>(m) Specified in Schedule 14 for Products associated with the Xpansiv Digital Market;</p> <p>(n) Specified in Schedule 15 for Products associated with Schedule 1 of the Standard Instruments Programs (the CBL Global Emissions Offsets);</p> <p>(o) Specified in Schedule 16 for Products associated with Schedule 2 of the Standard Instruments Program (the CBL Nature-based Global Emissions Offset);</p> <p>(p) Specified in Schedule 17 for Products associated with Schedule 3 of the Standard Instruments Program (the Methane Performance Certificate);</p> <p>(q) Specified in Schedule 18 for Products associated with Schedule 4 of the Standard Instruments Program (the CBL Core-Global Emissions Offset Trailing); and</p> <p>(r) Specified in Schedule 19 for Products associated with Schedule 5 of the Standard Instruments Program (the CBL Core-Global Emissions Offset);</p> <p>(s) Specified in Schedule 20 for Products associated with Schedule 6 of the Standard Instruments Program (the CBL Nature-based Global Emissions Offset Trailing);</p> <p>(t) Specified in Schedule 21 for Products associated with Schedules 7 and 9 of the Standard Instruments Program (the Australian Carbon Credit Unit);</p> <p>(u) Specified in Schedule 22 for Products associated with Schedule 8 of the Standard Instruments Program (the CBL Sustainable Development Global Emissions Offset); and</p> <p>(v) Specified in Schedule 23 for Products Associated with CERCarbono Certified Carbon Standard;</p> <p>(w) Specified in Schedule 24 for Products Associated with California Low Carbon Fuel Standard (LCFS);</p>

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	<p>(x) Specified in Schedule 25 for Products Associated with the Evident Code for I-REC(Electricity);</p> <p>(y) Specified in Schedule 26 for Products Associated with the Puro Registry for Carbon Removals;</p> <p>(z) Specified in Schedule 27 for Products Associated with Schedule 10 of the Standard Instruments Program (the CBL Global Emissions Offset ICVCM CCP VCS);</p> <p>(aa) Specified in Schedule 28 for Products Associated with Schedule 11 of the Standard Instruments Program (the CBL Global Emissions Offset ICVCM CCP ACR); and</p> <p>(bb) Specified in Schedule 29 for Products Associated with Schedule 12 of the Standard Instruments Program (the CBL Global Emissions Offset ICVCM CCP CAR).</p>
4	<p>Market Operator</p> <p>With reference to the definition of Market Operator in Rule 1.1, the Market Operator is:</p> <p>(a) CBL Markets (USA) LLC for Products specified in Schedules 2, 3, 4, 5, 6, 7, 10, 11, 12, 14, 15, 16, 17, 18, 19, 20, 22, 23, 24, 25, 26, 27, 28 and 29; and</p> <p>(b) CBL Markets (Australia) Pty Ltd for Products specified in Schedules 8, 9, 13 and 21.</p>
5	<p>Product</p> <p>With reference to the definition of Product in Rule 1.1, the specified Products are:</p> <p>(a) specified in Schedule 2 for Products associated with the Regional Greenhouse Gas Initiative;</p> <p>(b) specified in Schedule 3 for Products associated with the California Cap and Trade Program;</p> <p>(c) specified in Schedule 4 for Products associated with the Verified Carbon Standard;</p> <p>(d) specified in Schedule 5 for Products associated with the Gold Standard;</p> <p>(e) specified in Schedule 6 for Products associated with the Climate Action Reserve;</p> <p>(f) specified in Schedule 7 for Products associated with the American Carbon Registry;</p> <p>(g) specified in Schedule 8 for Products associated with the Australian Renewable Energy Target;</p> <p>(h) specified in Schedule 9 for Products associated with the NSW Energy Savings Scheme;</p>

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	<p>(i) specified in Schedule 10 for Products associated with one or more American Renewable Energy Programs;</p> <p>(j) specified in Schedule 11 for Futures Products governed by the Futures Market Rules of the New York Mercantile Exchange, Inc. owned and operated by CME Group, Inc., and CME ClearPort;</p> <p>(k) specified in Schedule 12 for Products associated with the United States Environmental Protection Agency Cross State Air Pollution Rule;</p> <p>(l) specified in Schedule 13 for Products associated with the Gas Auction Program;</p> <p>(m) Specified in Schedule 14 for Products associated with the Xpansiv Digital Market;</p> <p>(n) Specified in Schedule 15 for Products associated with Schedule 1 of the Standard Instruments Program (CBL Global Emissions Offset);</p> <p>(o) Specified in Schedule 16 for Products associated with Schedule 2 of the Standard Instruments Program (CBL Nature-based Global Emissions Offset);</p> <p>(p) Specified in Schedule 17 for Products associated with Schedule 3 of the Standard Instruments Program (the Methane Performance Certificate);</p> <p>(q) Specified in Schedule 18 for Products associated with Schedule 4 of the Standard Instruments Program (the CBL Core-Global Emissions Offset Trailing);</p> <p>(r) Specified in Schedule 19 for Products associated with Schedule 5 of the Standard Instruments Program (the CBL Core-Global Emissions Offset);</p> <p>(s) Specified in Schedule 20 for Products associated with Schedule 6 of the Standard Instruments Program (the CBL Nature-based Global Emissions Offset Trailing);</p> <p>(t) Specified in Schedule 21 for Products associated with Schedules 7 and 9 of the Standard Instruments Program (the Australian Carbon Credit Unit);</p> <p>(u) Specified in Schedule 22 for Products associated with Schedule 8 of the Standard Instruments Program (the CBL Sustainable Development Global Emissions Offset); and</p> <p>(v) Specified in Schedule 23 for Products Associated with CERCarbono Certified Carbon Standard;</p>

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		<p>(w) Specified in Schedule 24 for Products Associated with California Low Carbon Fuel Standard (LCFS);</p> <p>(x) Specified in Schedule 25 for Products Associated with the Evident Code for I-REC(Electricity);</p> <p>(y) Specified in Schedule 26 for Products Associated with the Puro Registry for Carbon Removals;</p> <p>(z) Specified in Schedule 27 for Products Associated with Schedule 10 of the Standard Instruments Program (the CBL Global Emissions Offset ICVCM CCP VCS);</p> <p>(aa) Specified in Schedule 28 for Products Associated with Schedule 11 of the Standard Instruments Program (the CBL Global Emissions Offset ICVCM CCP ACR); and</p> <p>(bb) Specified in Schedule 29 for Products Associated with Schedule 12 of the Standard Instruments Program (the CBL Global Emissions Offset ICVCM CCP CAR).</p>
6	Currency	With reference to currency in Rule 1.2, the specified currency is specified in the exhibits to the Schedules.
7	Time / Governing Law & Jurisdiction	<p>With reference to time in Rule 1.2 and the references to governing law and jurisdiction in Rule 1.8, the specified jurisdiction is:</p> <p>(a) the State of New York, USA where the Market Operator is CBL Markets (USA) LLC; and</p> <p>(b) the State of New South Wales, Australia where the Market Operator is CBL Markets (Australia) Pty Ltd.</p>
8	Additional Eligibility Criteria	<p>With reference to Rule 2.2(e):</p> <p>(a) if the Applicant is seeking to use the Transfer Service, the Applicant must be a member of a Designated Futures Exchange and execute a Master Registry Services Agreement;</p> <p>(b) if the Applicant is seeking to engage in Transactions on the Exchange or through OTC Portal involving Products related to the Standard Instruments Program (SIP), the Applicant must complete all necessary SIP requirements prior to engaging in such Transactions, including but not limited to establishing registry accounts with all Approved Registries, screening all applicable Asset Units for eligibility, and executing a Master Registry Services Agreement;</p> <p>(c) if the Applicant is seeking to become a Futures Broker, the Applicant must be registered as a Futures Commission Merchant or Introducing Broker in accordance with Applicable Law; and</p>

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	<p>(d) if the Applicant is seeking to become an Auction Participant of the Gas Auction Program specified in Schedule 13, the Applicant must:</p> <ul style="list-style-type: none"> (i) be registered with AEMO as a DWGM market participant; (ii) be a party to a legally binding and enforceable GTA; (iii) submit to the Market Operator a copy of the legally binding and enforceable GTA; and (iv) submit to the Market Operator any other additional information requested by the Market Operator, including but not limited to, KYC documentation. <p>The Market Operator will cross-reference the GTA with the relevant Pipeline Operator</p> <p>(e) If the Applicant is seeking to become a Participant of the Xpansiv Digital Market specified in Schedule 14, the Applicant must</p> <ul style="list-style-type: none"> (i) be registered with Xpansiv Digital Market as a Digital Feedstock market participant; (ii) be a party to a legally binding and enforceable subscription agreement; (iii) submit to the Market Operator a copy of the legally binding and enforceable subscription agreement; and submit to the Market Operator any other additional information requested by the Market Operator, including, but not limited to, KYC documentation. (iv) submit to the Market Operator any other additional information requested by the Market Operator, including but not limited to, KYC documentation.
9	<p>Limit of Market Operator's Liability</p> <p>With reference to Rule 12.5, Market Operator's total combined aggregate liability is limited to and shall not exceed USD5,000,000.</p>
10	<p>Designated Futures Exchange</p> <p>With reference to the definition of Designated Futures Exchange in Rule 1.1, the Designated Futures Exchange is:</p> <ul style="list-style-type: none"> (a) specified in Schedule 11 for Futures Products governed by the Futures Market Rules of the New York Mercantile Exchange, Inc. owned and operated by CME Group, Inc., and CME ClearPort.